



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: James D. Ralph, Stephen Tatar, and Joseph P. Errico

Application No./Patent

No./Control No.: 10/648,464

Filed/Issue Date: August 25, 2003

Entitled: INTERVERTEBRAL SPACER DEVICE HAVING A SLOTTED PARTIAL CIRCULAR DOMED ARCH STRIP SPRING

SpineCore, Inc.

, a

Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded against the parent application number 10/035,669 in the United States Patent and Trademark Office at Reel 1, Frame 1, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: James D. Ralph et al. To: SpineCore, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 012441, Frame 0733, or for which a copy thereof is attached.
2. From: SpineCore, LLC To: Third Millennium Engineering, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 012850, Frame 0992, or for which a copy thereof is attached.
3. From: Third Millennium Engineering, LLC To: SpineCore, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 014344, Frame 0937, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Michael J. Doherty
Signature

June 20, 2006

Date

MICHAEL J. DOHERTY

Printed or Typed Name

(908) 518-6337

Telephone Number

Authorized Signer for Assignee

Title

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: June 20, 2006

Signature: Michael J. Doherty

(Michael J. Doherty)